



NOTIFICATION NO. KU/POL./2020/005 Date: 22nd January 2020

Subject: Karnavati University – Research Policy (Issue 01 Revision 00)

Karnavati University hereby notifies 'Research Policy' as appended herewith. This policy shall be applicable to the employees of the university which may include teaching and non-teaching employees who are engaged in the research work in any form, and to students. This policy provides general guidelines to maintain the integrity of academic and scholarly research to promote quality research activities.

This policy comes into immediate effect.

Tariq Ali Saiyed Registrar





Research Policy

Policy title	Research Policy
Key stakeholders	Teaching Employees
	Students
	Non-Teaching Employees
Approved on	22 nd January 2020
Date effective from	23 rd January 2020
Next version	
Relevant policies	Employees Code of Conduct
	Academic Integrity Policy
Relevant committees	Disciplinary committee
	Departmental Research Committee (DRC)
	University Research Committee (URC)
Related Guideline	

1. Short title

These rules shall be called "Research Policy".

2. Scope

This policy shall be applicable to the employees of the university which may include teaching and non-teaching employees who are engaged in the research work in any form, and to students.

3. Objective

This policy provides general guidelines to maintain the integrity of academic and scholarly research and inquiry to promote quality research activities. The primary aim of this policy is:

- i. To encourage the research and innovation in the university.
- ii. To promote research quality, integrity and ethics in university.
- iii. To integrate research proclivity in the teaching through translational and instructional research.
- iv. To incentivise the research and generation of intellectual property.

4. General Research Code of conduct

All the employees including teaching and non-teaching, researcher and students who are undertaking any research work controlled or facilitated by the Karnavati University shall be bound by this general research code of conduct. The research work includes data gathering, analysis of data and dissemination/publication of research findings in the form of research report or otherwise. Following ethical principles shall be followed:

i. **Academic Integrity:** Academic honesty is of paramount importance, university strictly prohibits fabrication, falsification, plagiarism and fraud in research. It firmly believes in academic integrity and strives to maintain the highest degree of academic integrity by ensuring ethical research where the researcher is accountable, impartial and maintains transparency.

- ii. **Intellectual Property**: The University believes intellectual property including patents, copyright, designs and any others should be appropriately attributed to its owner/s.
- iii. **Confidentiality:** The stakeholders engaged in research work shall protect the confidentiality of communications, papers or grants submitted for publication, trade and military secrets, patent records, personnel records or any such other information which is deemed to be or notified as confidential.
- iv. **Legal Aspects:** Researcher and all the stakeholders of the University engaged in research work, are required to acquaint themselves with the government policies, relevant laws and the policies of the University and follow them in the conduct of their research.
- v. **Animal subject Protect:** No research at the University shall be conducted unnecessarily and poorly designed, which may harm the animals in any form. Researchers are required to take proper care and show compassion towards animals.
- vi. **Human Subject Protection:** Researchers while conducting research on human subjects shall ensure that it should be voluntary, maintain human dignity, privacy and autonomy. Researchers shall take special precautionary measures with the vulnerable population and ensure the distribution and burden of research fairly among all the classes of society.

5. Research at the undergraduate and postgraduate level

Teaching and research are the two strong pillars of any higher education institution, whereas university provides the excellent teaching with the best curriculum design and world class infrastructure and teaching guidance, it believes that by promoting research university may encourage and inculcate creativity in the undergraduate and postgraduate students. With this aim university shall incorporate research oriented subjects in the

respective curriculums to emphasize on the robust training for research skills.

6. Doctoral studies and Ph.D. programme

- i. University offers Ph.D. programmes and provides full-time and parttime Ph.D. to the scholars. It shall also collaborate with different government and non-government organisations to facilitate the scholars for innovative and qualitative research.
- ii. To encourage research, the university shall earmark the appropriate budget for the Ph.D. Scholarships and facilities. University shall encourage and incentivise the research publication and patent registration by the research scholar.
- iii. The doctoral Programme of the university shall be governed by the Ph.D. rules and Regulations of the University, which are in compliance with the rules of UGC.

7. Research and postdoctoral studies

University shall provide post-doctoral fellowship to encourage and motivate the young researchers to pursue their research work, which will become an enabling step in grooming them into excellent academicians and researchers. University shall ensure the proper infrastructure and guidance to the post-doctoral fellows.

8. Minor Research Projects

To encourage the employees including teaching and non-teaching employees to conduct research, the university shall develop a scheme for providing financial assistance to employees for the minor short term research project and shall also provide assistance in preparing the research work.

9. Major research Projects

i. University shall, through its school of research and doctoral studies identify and prepare a list of funding agencies where employees can

submit their proposal for the major research project where they require the funding of more than 2 lakh, such proposal shall be scrutinized at the university level by University Research Committee (URC) before its submission to the funding agency.

- ii. University shall evolve a proper mechanism, to make adequate resources and infrastructure for research, to monitor the continuous progress of this research project, maintain the accounts and submit the utilising certificate to the funding agency as and when required by the funding agency.
- iii. The major research project may be collaborative in nature where the university employee is collaborating with the industry personnel, to get the exposure to the concerned area and this project may be interdisciplinary research.

10. Training for research and Publication

- i. University shall organize a training session where employees and the students can learn the research skill in order to channelize their efforts efficiently.
- ii. It shall also conduct workshops which can provide the intricate details of research paper publication and teach the proper about the use of ICT in research.

11. Publication of Paper and journals

Research paper publication is essential for the growth of university, employees including teaching and non-teaching employees shall continuously publish their paper in the quality journals. To encourage the publication of research papers, research articles and book chapters, university shall develop an incentive scheme and allocate a fund for such schemes.

12. Registration of Patent, design and other intellectual property

University shall encourage the employees and students to produce research and innovation which may lead to the filing of patent, registration of design or any other intellectual property.

University shall provide the financial assistance for the registration of patent and design or any other intellectual property. The ownership and the commercialisation of such Intellectual Property shall be in accordance with the *Intellectual Property Policy* of the university.

13. Research misconduct

University firmly believes in academic integrity and condemns misconduct in any form. The university shall deal with any misconduct as per the Academic Integrity policy of the university. In case any research misconduct is found, the said shall be dealt with by the Institutional/ University Disciplinary Committee (whichever is applicable).